



U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

DEP & REF
16G3 # 3
16X1
DAVE NGUYEN
5-28-98

**TRANSMITTAL OF SMALL ENTITY
STATEMENT AND REQUEST FOR
REFUND**

Docket Number:
52494/2101

Application Number
09/040,103

Filing Date
March 17, 1998

Examiner
727

Art Unit

Invention Title
**USE OF HUMAN SERUM RESISTANT VECTOR
PARTICLES AND CELL LINES FOR HUMAN
GENE THERAPY**

Inventor(s)
MASON

Address to:
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Dated: May 18, 1998

Signature

M. Lisa Wilson
M. Lisa Wilson (Reg. No. 34,045)
KENYON & KENYON

Enclosed is a Verified Statement

Claiming Small Entity Status from North Shore University Hospital Research Corporation for the above-referenced patent application.

Pursuant to 37 C.F.R. 1.28(a) and 1.26, Applicant requests a refund of \$1234.00 which is the excess amount of the filing fee timely paid prior to establishing Small Entity Status. The refund should be credited to Deposit Account No. 11-0600. A duplicate of this letter is enclosed.

Dated: May 18, 1998

By:

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Adjustment date: 06/15/1998 PWILSON
03/23/1998 WASHINGTON 00000025 110600 09040103
01 FC:101 790.00 CR
02 FC:102 82.00 CR
03 FC:103 814.00 CR
04 FC:104 270.00 CR

06/15/1998 PWILSON 00000003 110600 09040103
01 FC:201 395.00 CH
02 FC:202 41.00 CH
03 FC:203 407.00 CH
04 FC:204 135.00 CH